

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: <div style="font-size: 1.2em; font-family: cursive;">META MATERIALS INC</div>	Case Number: <div style="font-size: 1.2em; font-family: cursive;">24-50792-HLB</div>	<div style="font-size: 1.2em; font-weight: bold;">RECEIVED AND FILED</div> <div style="font-size: 1.2em; font-weight: bold;">DEC 11 2024</div> <div style="font-size: 0.8em; font-weight: bold;">U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK</div> <div style="font-size: 0.8em; font-weight: bold; margin-top: 20px;">COURT USE ONLY</div>
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): <div style="font-size: 1.2em; font-family: cursive;">MICHAEL O BROCK 12500 AUGUSTA DR KANSAS CITY KS 66109</div>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: <div style="font-size: 1.2em; font-family: cursive;">E-TRADE</div>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: <div style="font-size: 1.2em; font-family: cursive;">E-TRADE MORGAN-STANLEY SMITH BARNEY PO BOX 484 Jersey City, NJ 07303-0484</div>	3. Date Equity Interest was acquired: <div style="font-size: 1.2em; font-family: cursive;">12-27-2021 to 6-25-2024</div>	
Telephone Number: <div style="font-size: 1.2em; font-family: cursive;">1-800-387-2381</div>		
4. Total amount of member interest: <div style="font-size: 1.2em; font-family: cursive;">1200</div>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: _____		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> I am the creditor. </div> <div> <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) </div> <div> <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) </div> <div> <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.) </div> </div> <p>I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.</p> <div style="display: flex; justify-content: space-between;"> <div> Print Name: <div style="font-size: 1.2em; font-family: cursive;">MICHAEL O BROCK</div> Title: <div style="font-size: 1.2em; font-family: cursive;">12500 AUGUSTA DR KC KS 66109</div> Company: _____ Address and telephone number (if different from notice address above): _____ </div> <div style="text-align: center;"> <div style="font-size: 1.5em; font-family: cursive;">Michael O Brock</div> (Signature) <div style="font-size: 1.2em; font-family: cursive;">913-626-5580</div> Telephone number: </div> <div style="text-align: center;"> <div style="font-size: 1.2em; font-family: cursive;">12-8-24</div> (Date) </div> </div> <div style="text-align: right; margin-top: 10px;"> email: <div style="font-size: 1.2em; font-family: cursive;">MICHAEL O BROCK@GMAIL.COM</div> </div>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

DOW ▼ -0.28%

NASDAQ ▲ 0.81%

S&P 500 ▲ 0.25%

**E*TRADE**
from Morgan Stanley

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Portfolios

Positions Allocation Performance Historical value Margin Gains & Losses Risk Assessment

Account

Individual Brokerage -6606

Net Account Value
\$479,102.10

Total Unrealized
Gain

Day's Gain
Unrealized

Transfer money

\$381,765.56 (-44.35%) \$3,719.64 (-0.77%)

Cash Purchasing
Power ⓘ
\$11.70

Available for
Withdrawal
\$11.70

Show less

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All Pos

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Symbol /
CUSIP

Security type

All securities

Wash sale adjustment



» Symbol ▲

		Last Price \$	Change \$	Change %	Qty #	Price Paid \$	Day's Gain \$	Total Gain \$	Total Gain %	
BCV	Trade	18.43	0.0299	0.16%	1,162	18.8574	34.74	-496.64	-2.27%	2
BNS	Trade	55.77	-0.01	-0.02%	310	48.9903	-3.10	2,101.71	13.84%	1
CLM	Trade	9.18	-0.01	-0.11%	10,300	8.8361	-103.00	3,542.14	3.89%	94
CVS	Trade	55.29	-1.57	-2.76%	200	61.5868	-314.00	-1,259.35	-10.22%	11
EVRG	Trade	62.96	-0.36	-0.57%	360	62.7049	-129.60	91.83	0.41%	22

Cash

Transfer money

Total

\$860,855.96 -\$3,719.65 -\$381,765.56 -44.35% \$47

Symbol ▲

IEP	Trade	10 56	-0125	-117%	11 750	39 6538	-1,468 75	-341,851.70	-73 37%	124
MMATQ	Trade	0 00	-0 0001	-99 00%	1,200	32 49417	-012	-38,993 00	-100 00%	
MSB	Trade	26 48	0 37	-1 38%	2,850	25 3383	-1,054 50	3,253 73	4 51%	75
SBLK	Trade	15 98	-0 50	-3 03%	1,600	20 7993	-800 00	-7,710 96	-23 17%	25
USA	Trade	7 33	0 01	0 14%	11,868	7 3674	118 68	-443 33	-0 51%	86

Viewing 10 of 10 positions

Cash

Transfer money

Total

\$860,855 96 -\$3 719 65 -\$381,765 56 44 35% \$47

The total amount of the price paid column reflects the total costs of
all open positions

■ Special event for position

Real-time quotes ⓘ

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Please read all the important disclosures below.

Investing:

Check the background of Morgan Stanley Smith Barney LLC on FINRA's BrokerCheck and see the Morgan Stanley Smith Barney LLC Relationship Summary

Investment Products

• Not FDIC Insured

• No Bank Guarantee

• May Lose Value



Securities in your account protected up to
\$500 000 For details please see www.sipc.org

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Positions Allocation Performance Historical value Margin Gains & Losses Risk Assessment Estimated Income Shareholder Actions

Account

Individual Brokerage 6606

Net Account Value
489,006.82

Total Unrealized Gain
-\$371,860.84 (-43.20%)

Day's Gain Unrealized
-\$3,011.20 (-0.61%)

Show less

Transfer money

Cash Purchasing Power ⓘ
1170

Available for Withdrawal
\$1170

Filters

View

All Positions

Filter by Symbol /
CUSIP

MMATQ

Security type

All securities

Wash sale adjustment



Symbol ▲		Last Price \$	Change \$	Change %	Qty #	Price Paid \$	Day's Gain \$	Total Gain \$	Total Gain %	Val
MMATQ	Trade	0.061	0.00	0.00%	1,200	32,494.17	0.00	-38,919.80	99.81%	7
12/27/2021		0.061	0.00	0.00%	10	280.00	0.00	2739.39	99.98%	
06/09/2022		0.061	0.00	0.00%	10	169.00	0.00	1639.39	99.96%	
09/19/2022		0.061	0.00	0.00%	60	81.74	0.00	-4900.74	99.93%	
09/23/2022		0.061	0.00	0.00%	5	68.00	0.00	-339.70	99.91%	
10/13/2022		0.061	0.00	0.00%	89	117.50	0.00	10452.07	99.95%	
11/10/2022		0.061	0.00	0.00%	12	132.87	0.00	1593.71	99.95%	
12/22/2022		0.061	0.00	0.00%	10	121.95	0.00	1218.89	99.95%	
12/28/2022		0.061	0.00	0.00%	24	98.00	0.00	2350.54	-99.94%	
01/23/2023		0.061	0.00	0.00%	10	103.82	0.00	1037.59	99.94%	
02/02/2023		0.061	0.00	0.00%	20	101.00	0.00	-2018.78	99.94%	
02/09/2023		0.061	0.00	0.00%	10	91.88	0.00	918.19	99.93%	
03/16/2023		0.061	0.00	0.00%	10	51.90	0.00	518.39	99.88%	
03/20/2023		0.061	0.00	0.00%	5	52.46	0.00	262.00	99.88%	

Cash Transfer money

\$

03/27/2023	0061	000	000%	10	45.47	000	454.09	99.87%
04/14/2023	0061	000	000%	142.24	22.24002	000	-3,154.74	99.73%
04/14/2023	0061	000	000%	2	22.235	000	-44.35	99.73%
04/14/2023	0061	000	000%	70.76	22.77007	000	1606.89	99.73%
07/03/2023	0061	000	000%	10	21.50	000	214.39	99.72%
07/12/2023	0061	000	000%	20	18.70	000	-372.78	99.67%
08/01/2023	0061	000	000%	3	24.59	000	73.59	99.75%
08/31/2023	0061	000	000%	4.4	23.25909	000	-102.07	99.74%
09/08/2023	0061	000	000%	14.6	21.26027	000	309.51	99.71%
10/26/2023	0061	000	000%	15	16.01333	000	23.93	99.62%
11/13/2023	0061	000	000%	10	9.63	000	95.69	99.37%
11/15/2023	0061	000	000%	2.5	9.10	000	22.60	99.33%
12/21/2023	0061	000	000%	3	6.73	000	-20.01	99.09%
12/27/2023	0061	000	000%	31	6.88	000	211.39	99.11%
01/02/2024	0061	000	000%	50	6.75	000	-334.45	99.10%
01/16/2024	0061	000	000%	44.09	6.56997	000	286.98	99.07%
01/22/2024	0061	000	000%	15.91	6.6983	000	-105.60	99.09%
03/08/2024	0061	000	000%	28	2.61	000	-71.37	97.66%
03/22/2024	0061	000	000%	17	1.96529	000	-32.37	96.90%
05/23/2024	0061	000	000%	22	2.86909	000	61.78	97.87%
06/03/2024	0061	000	000%	42	3.93	000	162.50	98.45%
06/06/2024	0061	000	000%	41	3.71683	000	149.89	98.36%
06/21/2024	0061	000	000%	45	3.03689	000	133.92	97.99%
06/25/2024	0061	000	000%	295	2.69	000	-775.56	97.73%

1

Viewing 1 of 1 filtered results

Cash Transfer money

\$